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PROBLEMS AND OUTLOOKS OF OPTOGENETIC TECHNOLOGIES IN THE 21ST CENTURY

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ПРОБЛЕМЫ И ПЕРСПЕКТИВЫ ИСПОЛЬЗОВАНИЯ ОПТОГЕНЕТИЧЕСКИХ ТЕХНОЛОГИЙ В XXI ВЕКЕ

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Optogenetics is an innovative scientific trend, had developed in the 21st century as the integration of genetic engineering and advanced laser technologies to study the functioning of the human organism, diagnostics, and therapy of socially significant diseases. This work represents modern information and outlooks of optogenetics achievements to solve a wide range of biomedical problems. The review contains a description of the main methods of delivery, incorporation, and control of the expression of photosensitive proteins on the cell membrane. There are described characteristics of the physical and technical side of the optogenetic experiment, are indicated the key advantages and disadvantages of various techniques. The main difficulties of optogenetic technologies, examples of technical solutions for optostimulation and registration of cellular activity synchronically are described.

Keywords: optogenetics, opsins, ion channels, fiber optic systems, photostimulation

В XXI веке на пересечении геномной инженерии и передовых лазерных технологий развивается новое перспективное научное направление – оптогенетика, в рамках которой разрабатывается обширный арсенал для изучения механизмов функционирования организма, функциональной диагностики и терапии социально значимых заболеваний человечества. В работе представлены современные данные о перспективах использования достижений оптогенетики для решения широкого круга биомедицинских задач. Обзор содержит описание основных способов доставки, встраивания и контроля экспрессии светочувствительных белков на мембране изучаемых клеток. Дается характеристика физико-технической стороны оптогенетического эксперимента, указываются ключевые преимущества и недостатки различных методик. Описываются основные трудности и нюансы в работе с оптогенетическими технологиями, примеры технических решений для выполнения одновременной оптостимуляции и регистрации клеточной активности.

Ключевые слова: оптогенетика, опсины, ионные каналы, оптоволоконные системы, фотостимуляция

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Optogenetics is an innovative and fast-developing scientific trend uniting achievements of molecular biology and laser technologies for the monitoring of various biochemical processes in the cell and the control of cell activity with light.

Recent advances in optogenetics are based on the use of genetically encoded photosensitive ion channels, which demonstrate various combinations of photostimulation. It is especially important to ensure the availability of a high-quality fiber-optic

system to deliver the light with minimal losses and then to effectively collect the response signal.

The history of this scientific trend is taken from 1971 when W. Stokenius and D. Osterchelt showed that photons could activate the ion channel of bacteriorhodopsin. A few years later, the English biophysicist Francis Crick proposed the idea of enabling a group of cells with the help of light [1, 2]. However, over the years, the integration of genetic and optical methods has not been effective. In October 2007, the «father of optogenetics,» Carl Deisserot and his colleague Luis de LeShea published the results of their studies performed on freely moving mice where recombinant lentivirus with channelrhodopsin-2 gene was stereotactically injected into the lateral hypothalamus and then optical fibers were used to deliver light deep in the brain, directly into the lateral hypothalamus. As a result of the study, the initial hypothesis was confirmed: the light stimulation of hypocretinergic neurons during sleep wakes up animals [3]. And after three years Deisserot organized the company Circuit Therapeutics in Silicon Valley (USA), which successfully dealt with the suppression of acute and chronic pain [4].

In response to the appearance of new neurobiological methods, there has also been an improvement in fiber-optic instruments that allow light delivery. Thus, the idea of optical stimulation and recording of electrical pulses synchronically was successfully implemented. Currently, for example, it is possible to directly measure the electrical activity in neurons responsible for motor activity, while controlling them with the help of opsins.

Over time, the field of use of optogenetic techniques has become more extensive. Currently, optogenetic is increasingly being used to study and stimulate not only brain cells but also other organs containing excitable tissues (for example, heart muscle) where various light-responsive systems, delivered via viral vectors or expressed in transgenic animals, are used [5, 6].

Role of light-sensitive proteins in an optogenetic study. The choice of photosensitive proteins – opsins in optogenetic research is due to the possibility of ensuring their expression in almost any cell of the body, including brain neurons.

Currently, two types of channel proteins are distinguished: the first type of opsins – microbial-type opsins type I, which provide regulation of metabolism and ATP synthesis and are expressed on cells in prokaryotes, algae, and fungi. The optimal-type opsins type II is the second type of opsin responsible for vision, smell, regulation of biorhythms, and is found only on membranes of highly organized eukaryotes [7, 8].

It is customary to classify opsins into cationic transmembrane channels, anion channels, chlorine pumps, proton pumps, sensors, and metabolic pathways [9, 10].

Activator proteins that depolarize the membrane and inhibitor proteins hyperpolarize it is poured according to the mechanism of action. These properties can be used in the study, both individually and jointly [11].

Most of these proteins represent a channel consisting of 7 transmembrane domains by structure. In this case, the retinal is a cofactor of these opsins. During the absorption of a light quantum, the retinal isomerizes, contributing to a change in the structure of the protein and a difference in the membrane ions permeability [12].

At present, canalorodopsin-2 (ChR2) is the most popular in optogenetic studies. Back in 2005, a group of scientists at Stanford University under the leadership of Karl Deisserot showed that control of the activity of a group of neurons could be performed by adapting natural channel rhodopsin obtained from green algae of

the species *Chlamydomonas reinhardtii*. The maximum activation of ChR2 is achieved with a glow of a wavelength of about 460 nm (blue light) [13]. In this case, the ion current velocity through the channel is only 1.21 ms under the illumination of 20 mW/mm².

Several laboratories are still conducting molecular genetic experiments to improve the spectral properties of canalorodopsin. For example, a point mutation in the canalorodopsin-2 (H134R) gene increases the ion current velocity by several times, while the C128S mutation leads to channel deactivation due to luminescence with a wavelength of 542 nm (green light) [14, 15].

In the case of experiments related to the possibility of inhibition of cellular activity, halorodopsin (NpHR), which was studied for the first time from the archaea *Natronomonas pharaonis*, is most often used. This protein is activated by light with a wavelength of 590 nm (yellow light) and induces a flow of chlorine ions into the cell. Another difference between NpHR and ChR2 is the ability to pass only one ion per cycle, and therefore halorodopsin has an even lower speed [16].

Nowadays, Arch and Mac proton pumps are also used to inhibit the cell populations of interest, which pump protons from the cell and are characterized by a higher ion transport rate. Arch proton pump is activated by yellow-green light and causes the most powerful, compared to other proteins, hyperpolarization. The Mac proton pump is activated by blue-green light and is widely used with canal rhodopsin-2 in multimodal lighting strategies [17, 18].

Several years ago, American researchers created artificial constructs between vertebral rhodopsins and G-protein-coupled receptors (opto-XR, where X is a specific receptor). Such constructions make it possible to control intracellular biochemical signaling with the help of light, and also have a certain temporal accuracy and specific cell stimulation. Opto-X-ray images, in particular, open up broad prospects for the realization of the effects of optogenetics in ophthalmology and otorhinolaryngology [19, 20].

As can be seen from these data, the discovery and genetic engineering synthesis of new opsins attract the great interest of scientists, since it is the protein structure that largely determines the possibilities for using optogenetics in studying the principles of functioning of organisms – from single cells to behavior and consciousness.

Methods of delivery of opsins to target cells. There are many optogenetics methods for target photosensitive proteins delivery to cells; in particular, there are the introduction of lentiviral and adeno-associated viral constructs and the use of transgenic animals [21, 22].

The most common opsin delivery method is viral transfection using LV – lentiviral constructs or AAV – adeno-associated virus [23].

Such constructs necessarily contain the gene responsible for the synthesis of rhodopsin, as well as several promoters and regulators that ensure protein expression only in the necessary cells [24].

Speaking about adenoviral vectors, it should be emphasized that such constructs have a small capacity – only about 4700 nucleotide pairs. However, the small size of the virus itself, its high concentration per unit volume, and the weak immune response of tissues allow scientists to infect [25] effectively.

Lentiviral constructs have a large capacity and can include up to 9–10 kb, while the level of expression of the opsin itself is much lower [26]. In this connection, the use of lentiviruses is limited to more local tasks.

An essential role in the effectiveness of infection has the set of receptor proteins on the surface of the viral

capsid – the serotype. It determines how the infection of target cells will occur (for example, neuron body or neuron processes). It has already been experimentally confirmed that serotype AAV 2.1 is suitable for infection of rodent brain cells, and serotypes 8 and 9 – for infection of primates neurons [27].

The modern technologies in optogenetic researches are Cre-LoxP (Cre-LoxP recombination technology), FLP-FRT, and DIO (double-inverse orientation or FLEX construct). Cre-recombinases (cyclic recombinase) – enzymes with the ability to change the location of the nucleotide sequences of DNA. These enzymes are capable of cutting a specific DNA fragment. Once in the nucleus, cre-recombinase cuts out the genes located between the LoxP sequences (from the English – the crossing-over locus in the P1 phage), as a result of which the animal becomes knockout by this gene.

FLP recombinase (Flippase) recognizes the similar sites of FRT (Flippase recognition targets) and inverts the DNA region between them [28].

DIO-systems (FLEX-system) is more complicated. It includes double LoxP sites on regulatory genes or on the encoding opsin gene itself, which makes it possible to regulate the expression of rhodopsins under conditions when the target cells have particular properties or the promoter is weak and does not provide the desired level of rhodopsin expression [29].

Another strategy ensuring the presence of a specific gene in an experiment is the use of transgenic animal lines (living organisms into whose genomes foreign genes have been transferred). However, nowadays, only transgenic mouse lines are widely used in laboratories. The limiting factors for using other types of research objects are ethical issues and high maintenance costs.

To obtain transgenic animals, viral and non-viral technologies are used. That included approaches based on physical and chemical effects, allowing cells transfection *in vitro* [30].

One way of creating such transgenic objects is associated with embryonic stem cells. First, cloned DNA integrates into embryonic stem cells culture, then selected transgenic embryonic stem cells are cultured and used to obtain the necessary lines [31].

To obtain transgenic mice, the method of intraportal electroporation is also used. In this case, a solution with DNA encoding opsin is injected *in utero* on certain days of embryo development, and then it is exposed to a high-voltage electric discharge for short duration, which allows to selective effect on specific cell types and brain regions. Unlike viral methods, electroporation can introduce more copies of a gene and deliver DNA of any size with large promoter segments to achieve higher cell specificity [32].

The most well-known method of chemical delivery of a genetic structure is liposomal transport for transfection cells and DNA cloned embryos. Liposomes, which are membrane-like vesicles, protect foreign DNA from enzymes. When the necessary conditions are created, cationic liposomes can interact with DNA molecules to form the «lipid-DNA» complex [33].

Optical stimulation. When planning an experiment with stimulation of the selected cells, an important step is the correct selection of the fiber, light source, and devices with which all components are connected.

For example, for stimulation of nerve cells in brain tissue, we've used dielectric waveguides, which are optical fibers that can transmit electromagnetic energy [34]. The real waveguides used in FOCLs are flexible fibers made of transparent dielectric materials, which consist of two areas: the central core and the shell, which

is coated with a protective coating. In the core domain, the refractive index n can be constant or vary over the cross-section, and the refractive index of the cladding is constant. Two of these phases correspond to stepwise and gradient profiles of the refractive index, respectively [35]. To create guiding properties, the index of core refraction must be higher than the refractive index of the cladding, where the bulk of the transmitted radiation propagates through the core, and only a small fraction propagates through the cladding.

Optical fibers are divided by the number of modes which are characterized by the waveguide parameter (V):

$$V = \frac{2\pi\rho}{\lambda} \sqrt{n_{co}^2 - n_{cl}^2}, \quad (1)$$

where ρ is the radius of the core, λ is the free space wavelength, n_{co} is the refractive index of the core, n_{cl} is the refractive index of the shell [36]. When $V < 2.4$ a fundamental mode HE_{11} with orthogonal polarizations HE_{11}^+ and HE_{11}^- is excited in a fiber, which is called single-mode fiber. For waveguides with $V > 2.4$ a multimode state is implemented with a minimum number of modes equal to six: HE_{11}^+ , HE_{11}^- , HE_{21}^{ev} , HE_{21}^{od} , TM_{01} , TE_{01} [37].

An important characteristic of a light-stimulated optical fiber is the numerical aperture $NA = \sqrt{n_{co}^2 - n_{cl}^2}$, which characterizes the angle between the aperture beam and the optical axis, which is called the aperture angle $\Theta_A = \arcsin(NA)$ [38]. The choice of the magnitude of the aperture angle is based on the goal of the stimulation. For example, to stimulate nearby neurons in volume $0.1 \text{ mm}^3 - 0.2 \text{ mm}^3$ it is convenient to use a single-mode fiber with $NA \approx 0.15$. A multimode fiber, in which the fiber diameter is more extensive and has $NA \approx 0.45$, is successfully used in optical stimulation of neurons in the volume $0.5 \text{ mm}^3 - 1 \text{ mm}^3$ from the end of the fiber [39].

The second important principle in the experimental setup is the Laser-Light Amplification by stimulated emission of radiation, which is connected with the optical fiber. Given its size, the most practical and convenient way to work *in vivo* is the laser diode. The power of laser radiation depends on the current intensity applied to the diode. By adjusting the current strength, the intensity of the laser radiation can be quickly changed, as well as the frequency and duration of the impulse.

The primary sources of leaks are laser-fiber and fiber-fiber connection nodes [41]. The optimal solution to this problem is the coaxial connection of all-optical elements with minimal gaps. The USA company Thorlabs has a separate line for the production of visual components for optogenetic stimulation *in vivo*, where the light source has an adapter SMA905 for a fiber-optic cable with a metal casing, which is tightly fixed with a nut. Using LedDriver it is possible to adjust the radiation power with the maximum value 8–21.8 mW by changing the current strength supplied to the laser. The optical cable at the one end has a connector SMA905 or FC/PC with length 0.5–1 m. A ceramic ferrule with a diameter of 1.25 mm or 2.5 mm and a numerical aperture of 0.22, 0.39, or 0.50 is placed at the second end of the fiber [42]. An interconnector tightly concatenates optical cable with cannula placed in the cerebral cortex.

The output radiation is measured on a fully assembled installation, including a cannula, with a power-measuring sensor. For example, the power of 1–10 mW/mm² is required to stimulate rhodopsin, and the power of <0.01 mW/mm² is required for ChR2128 SSFO activation, depending on the objectives of the experiment [43].

The possibilities of optogenetics in solving biomedical tasks. Selectively influencing excitable tissues, optogenetics opens up great opportunities, especially for neurophysiology, the main task of which is to study the pathology of the brain.

For example, in an experiment with a modeled Alzheimer's disease, it was possible using optogenetic stimulation not only to establish the therapeutic role of slow corticothalamic oscillations induced by light but also to study the pathogenic effect of double-frequency oscillations on the development of this pathology. These results determine the perspectives for the construction of a treatment and prevention complex based on optogenetics achievements [44, 45].

Another common pathology is Parkinson's disease, which has a morbidity rate of 70 to 145 people per 100 000 population and currently has only an alternative to drug therapy. Since 2010 scientists have been using stereotactic introduction of tiny electrodes to stimulate the hypothalamic nucleus of the brain. The results after such stimulation were several times greater than the efficacy of drug therapy [46].

The functional optogenetic approach is also considered as a rehabilitation method, particularly after cerebral infarction. This may have a multicomponent effect: increase of neuronal activity in ischemic tissues in combination with the reorganization of afferent and efferent neuronal chains [47].

Through optogenetic research on sleep and awakening, pleasure, fear, aggression, and other basic psychiatric processes, a significant contribution has been made to the modern understanding of the pathogenesis of several mental diseases [48, 49]. It is expected that by optogenetic normalization of biochemical processes and selective stimulation of brain regions pathogenically associated with a particular disease, it will be possible to treat diseases related to depressive syndrome, anxiety, addiction, as well as schizophrenia and autism spectrum disorders [50].

Optogenetic methods also offer a wide range of opportunities for vision restoration in case of degenerative retinal diseases. For example, in the experiment with «switching-in» halorhodopsin eNpHR in light-insensitive rods, it was possible to restore the natural behavioral responses of laboratory animals to light [51, 52]. Additionally, there is a possibility for creating artificial photoreceptors after incorporation of ChR2 into bipolar cells or ganglion cells [53, 54].

Since 2011 new approaches related to the use of optogenetics in cardiology have been developed as an alternative to current electrical stimulation of the heart tissue. The main advantage of these methods is the selective excitation of only the inner layer – the endocardium [55, 56]. In general, nowadays, the optogenetic approach is ready to offer a replacement to such devices as pacemakers and defibrillators, which allow electrical signals to be supplied at a certain rhythm, however, carrying certain risks (damage to heart tissue, battery failure, etc.) [57].

Another option for the application of optogenetic stimulation may be the correction of the main components of endocrine disease pathogenesis, in particular, the development of a sugar-lowering system. The possibility of achieving normoglycemia on the model of type II diabetes mellitus has been experimentally established. During the study, a secreting glucagon-like peptide-1

(GLP-1) and alkaline phosphatase cell culture were transplanted intraperitoneally and subcutaneously; in the first case, the light beam was supplied using optical fiber, in the second – transdermally, while in both cases there was a significant decrease of blood glucose [58]. A similar logic of the experiment was also preserved in the study with wireless control over the process of supplying the light beam using the smartphone application. The cell culture also synthesized GLP-1 and insulin, and a LED was used as a light source, subcutaneously implanted with the culture in a hydrogel capsule [59].

Difficulties and limitations in optogenetic studies. Despite the greater variety of models for conducting an optogenetic experiment, there is still a number of unresolved problems that do not allow to fully realize the full potential of this scientific field [60]. In this regard, modern technologies are aimed at overcoming difficulties at every stage of the optogenetic methodology, in particular:

- lack of reliable information about the complex state of the organism after establishing the ability to express optogenetic molecules;
- violation of the bioethical rules while performing optogenetic studies in humans, in particular, human genome intervention [61];
- the difficulty in choosing the optimal photostimulation program taking into account the sensitivity threshold of opsins, specific qualities of the cellular ionic balance, etc.;
- high-intensity photostimulation, which can cause cell death and lead to ambiguous conclusions;
- uneven illumination intensity, light scattering in tissues [62].

Conclusions. A new promising scientific field – optogenetics, arisen at the intersection of genetics, molecular biology, chemical synthesis, and optics, makes it possible today to selectively control the functional activity of different types of cells, organs, and whole organisms. The development of research in this field not only lays a solid foundation for an in-depth understanding of the mechanisms of functioning of biological systems but also gives the key to the development of new approaches in the diagnosis and treatment of socially significant diseases. Given that modern optogenetic technologies have a full arsenal of tools, it becomes possible to identify the main areas for optogenetics application in future research directions: 1) development of new drugs for effective treatment of brain diseases, visual impairment, etc.; 2) obtaining innovative prostheses controlled by light; 3) therapy of cardiovascular diseases by controlling the motor activity of the smooth muscles of the heart by light, as well as obtaining the new type of pacemaker; 4) creating maps of the interconnections between neurons and individual parts of the brain in order to get unique data for development of artificial intelligence.

The main problems that are yet to be resolved are a violation of the bioethical rules while performing optogenetic studies in humans, in particular, human genome intervention and development of an optimal program for photostimulation of human neurons with minimally invasive interventions.

Disclosures:

The authors declare no conflict of interest.

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